



A Better Path to Habitable Worlds A NEW APPROACH TO DEVELOP FLAGSHIPS

NASA Astrophysics Great Oservatory Maturation Program (GOMAP) Program Executive: Julie Crooke (julie.a.crooke@nasa.gov) Program Scientist: Shawn Domagal-Goldman(shawn.goldman@nasa.gov) March 29, 2023

National Academies Astro2020 Decadal Survey

Astro 2020: "Great Observatories Mission and Technology Maturation Program would provide significant early investments in the co-maturation of mission concepts and technologies."

NASA: Great Observatory Maturation Program (GOMAP)



National Academies Astro2020 Decadal Survey



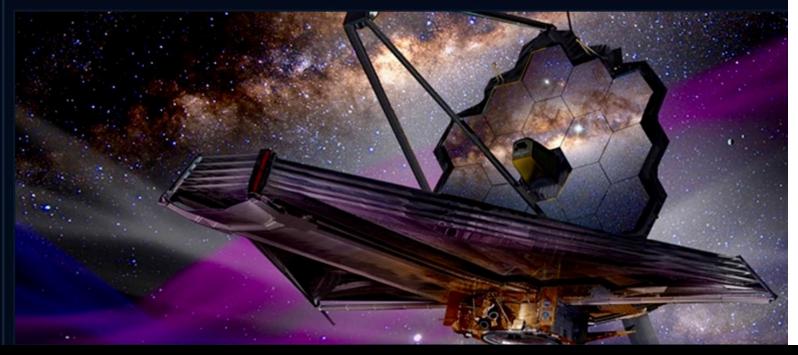
Astro 2020: "First [GOMAP] entrant: Infrared / Optical / UV observatory Far-IR and high resolution X-ray observatories recommended to enter in second half of the decade." GOMAP Projects: Habitable Worlds Observatory (HWO) ASAP,

Why GOMAP?

JWST EXCEEDS COST CAP, LAUNCH DELAYED TO 2021

JUNE 28TH, 2018

1 Shares 👖 🗾 in 👰 🚳 💈







For the second year in a row, NASA's budget request proposes to cancel the WFIRST astrophysics flagship mission. (credit: NASA)

Cost challenges continue for NASA science missions

by Jeff Foust Monday, March 25, 2019



Why GOMAP?

Silver Line's second phase was to be different. It fell into the same trap.

During eight years of construction, the new \$3 billion stretch of rail recorded multiple problems, cost overruns and four years of delays.

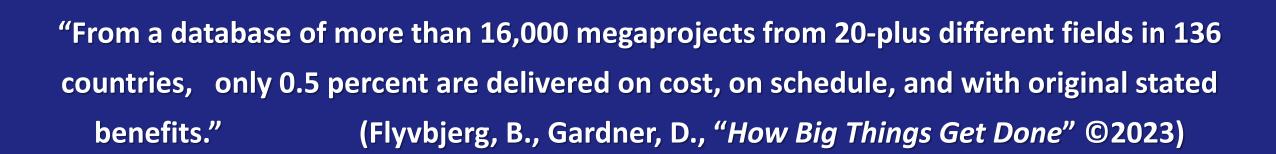
By Lori Aratani and Michael Laris November 12, 2022 at 6:00 a.m. EST

COST OVERRUN AT BALTIMORE STADIUMS MAY EXCEED 50 PERCENT

By Robert Barnes

August 31, 1988

ANNAPOLIS, AUG. 30 -- The cost of building a new sports stadium complex in downtown Baltimore may exceed original estimates by as much as \$110 million, an increase of more than 50 percent, Maryland legislative leaders were told today.



MOST READ

Federal

decades

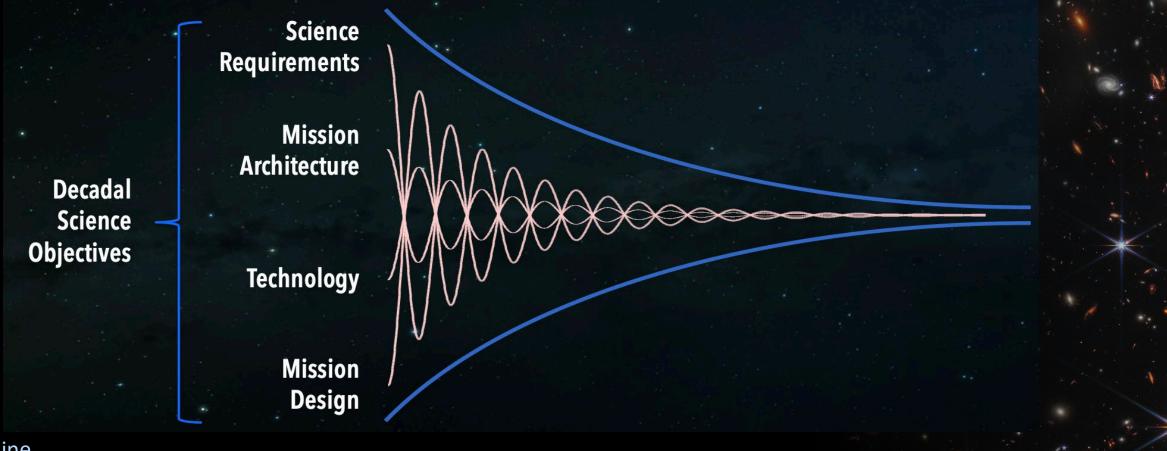
D.C. has th



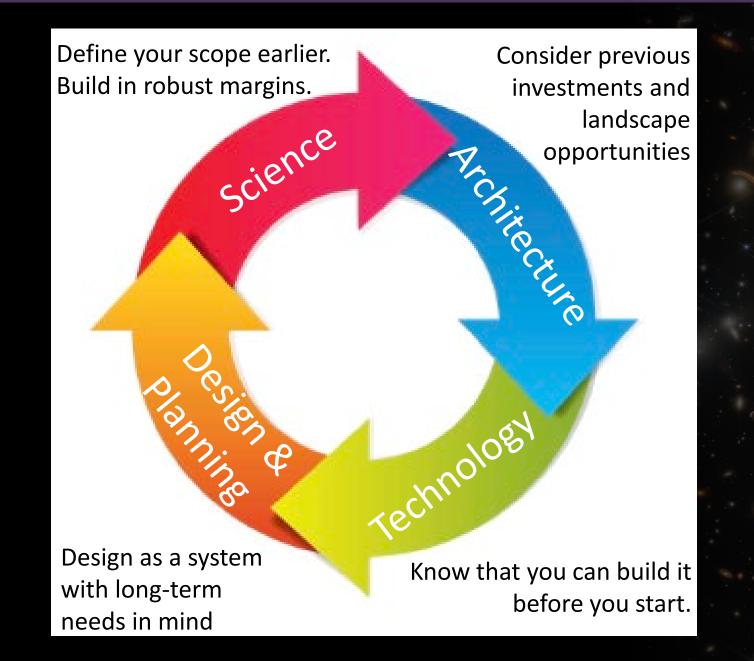
A variety of documents from internal, external, and oversight groups all point to a consistent set of problems & solutions for large/flagship projects, across

contors

• A successful flagship starts long-term work before staffing ramps up, and details get refined as the trade space continually gets more focused.

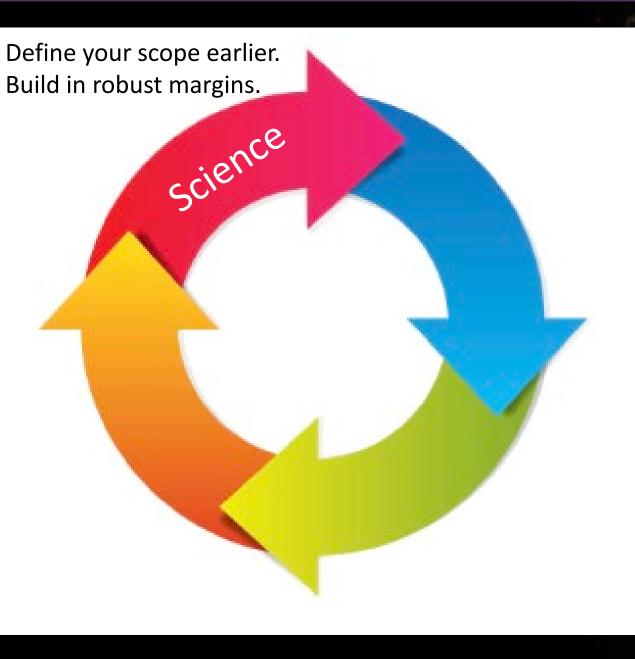


Timeline



Science, Technology, Architecture Review Team (START)

- Start with Decadal science
- Quantify all science objectives including their break points & slope of performance degradation
- Identify observatory/instrument capability needs





Define your scope earlier. Build in robust margins.

for the 2020s

NSUS STUDY REPORT

Astronomy and Astrophysics

Pathways to Discovery in

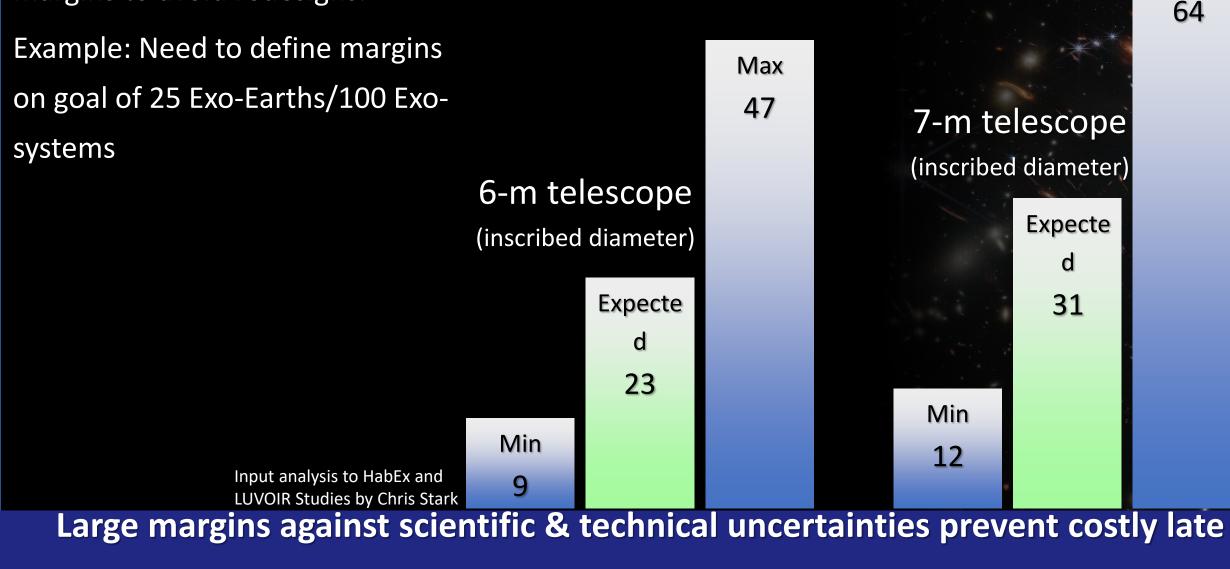
Acting groups: START (Science, Technology, Architecture Review Team) Precursor Science teams **Extreme Precision Radial Velocity teams Responsibility: HWO Scope Objectives:** List HWO Goals, Objectives, and Types of **Observations**

Roadmap to full/final Science Traceability

Matrix (STM)

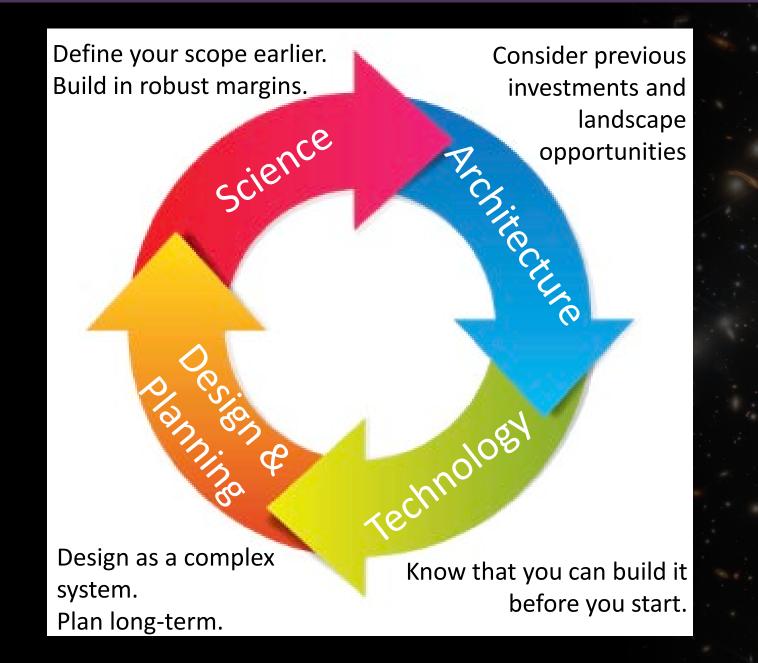
Define your scope earlier. Build in robust margins.

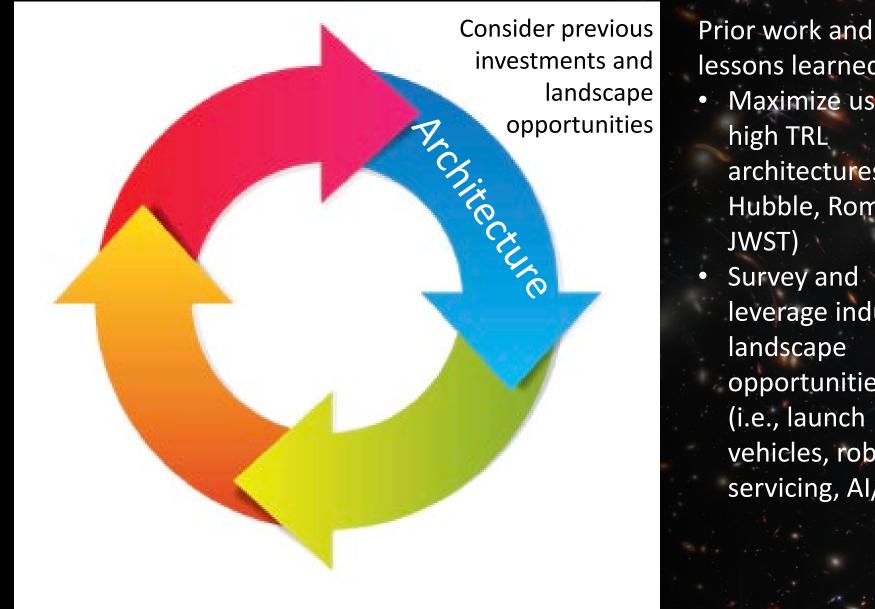
Define scope, including sufficient margins to avoid redesigns.



radaciona

Max





lessons learned • Maximize use of high TRL architectures (i.e., Hubble, Roman,

leverage industry landscape opportunities (i.e., launch vehicles, robotic servicing, AI/ML)

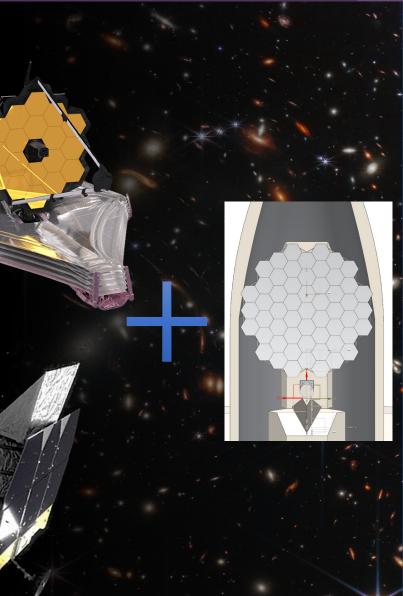
Consider Previous Investments and Landscape Opportunities



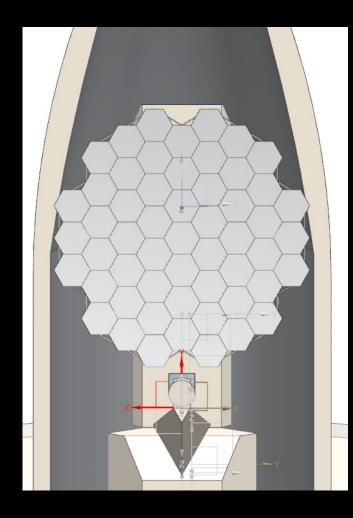




Exploring New Worlds, Understanding Our Universe



Consider Previous Investments and Landscape Opportunities

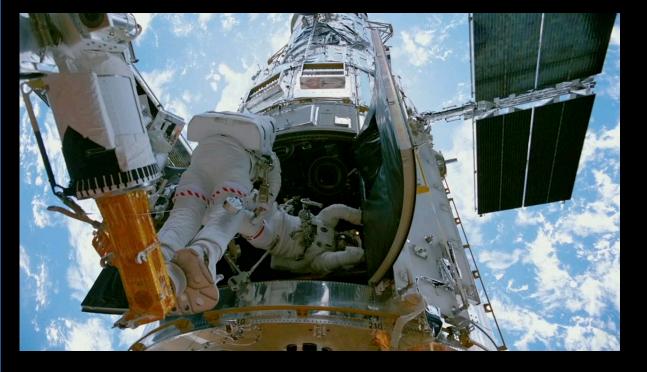


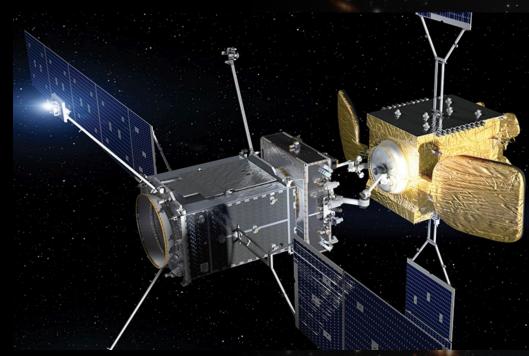
Potentially greater mass & volume capacity enables ...

- More conventional materials
- Modular design to ease I&T
- Innovative design trades (e.g., launch deployed mirror)

Analyze alternative materials & designs to reduce system complexity

Consider Previous Investments and Landscape Opportunities

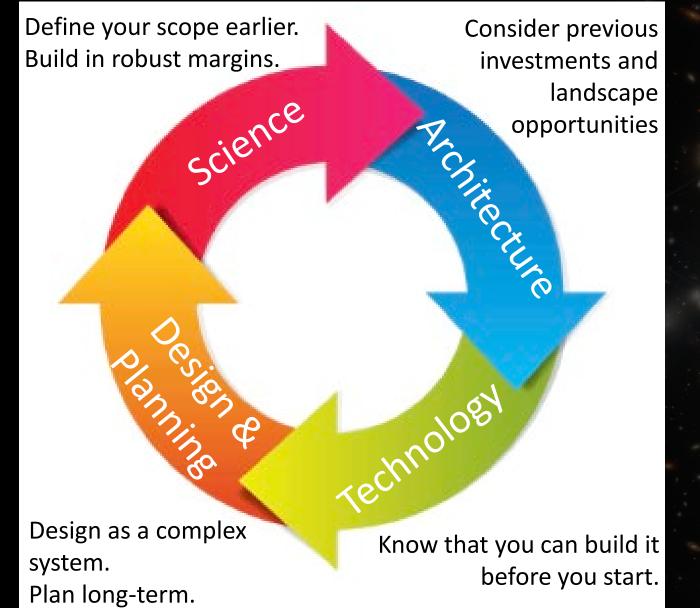




A Mission Robotic Vehicle servicing an on-orbit satellite. Credit: SpaceLogistics/Northrop Grumman

Hubble servicing of instruments has kept it at the forefront of science for over three decades Frequent, inexpensive launches & new in-space services enable planned servicing

Analyze alternative implementation strategies to reduce schedule & risks



Know that you can build it before you start.

chnology

Many Parallel Activities

- Leverage past technology investments
- Develop technologies earlier
- Identify testbed & pathfinder needs
- Demonstrate science performance of critical subsystems
- Develop modeling capability & fidelity needs throughout mission phases

Technology Readiness Level Definition

TRL 9

•Actual system "flight proven" through successful mission operations

TRL 8

 Actual system completed and "flight qualified" through test and demonstration (ground or space)

TRL 7

System prototype demonstration in a space environment

TRL 6

 System/subsystem model or prototype demonstration in a relevant environment (ground or space)

TRL 5

Component and/or breadboard validation in relevant environment

TRL 4

Component and/or breadboard validation in laboratory environment

TRL 3

•Analytical and experimental critical function and/or characteristic proof-ofconcept

TRL 2

Technology concept and/or application formulated

TRL 1

Basic principles observed and reported

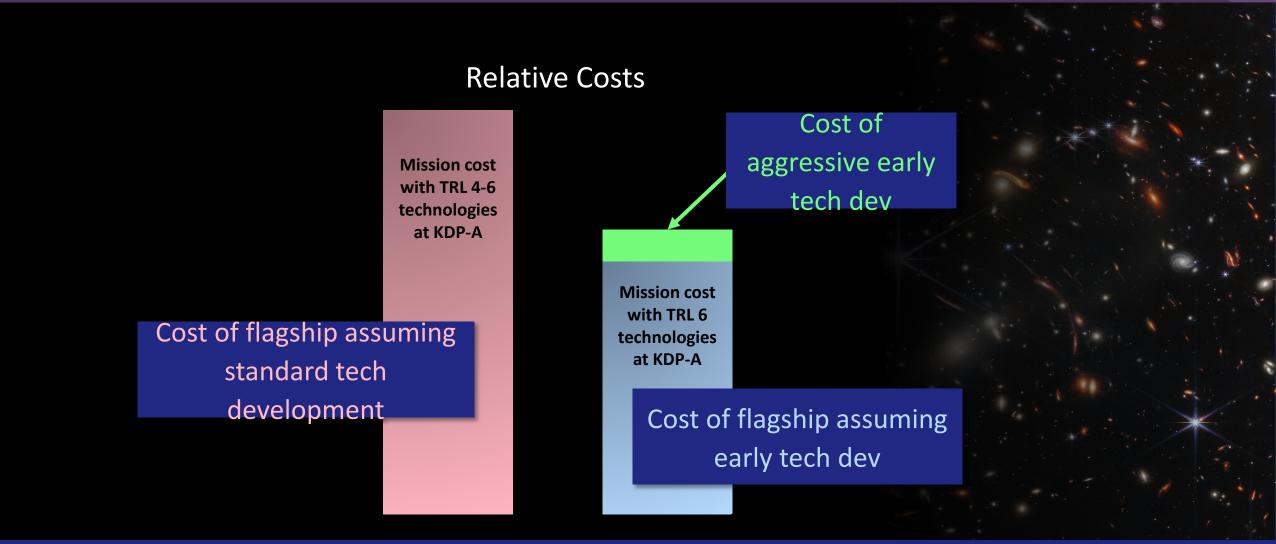
NASA's decades-long investments in developing large space telescopes pay off with aweinspiring science results

Hubble Space Telescope UV-Vis-NIR Flagship Serviceability

JWST Scalable Observatory L2 Operations Nancy Grace Roman Space Telescope High-Contrast Imaging Vis-NIR Detectors

Focus on new challenges, not inventing new ways to do things we know how to

Know that you can build it before you start.



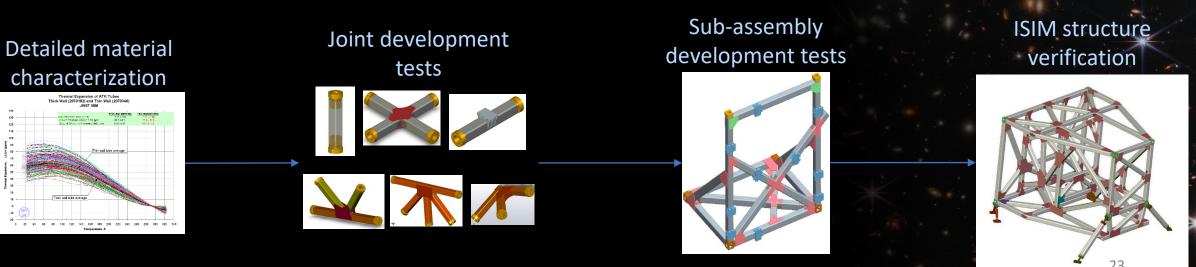
Focus early investment on the mission-enabling technologies and understand the trades between science, cost, and risk Strategically use pathfinders, testbeds, Engineering Test Units, and validation tools such as integrated modeling to:

- 1. Inform designs and their realism
- 2. Inform and validate models
- 3. Inform / practice testing processes and procedures
- 4. Define ground test facility and GSE requirements



Pathfinders allow teams to practice with non-flight hardware off the critical path. Use pathfinders & testbeds to inform and validate realism of models

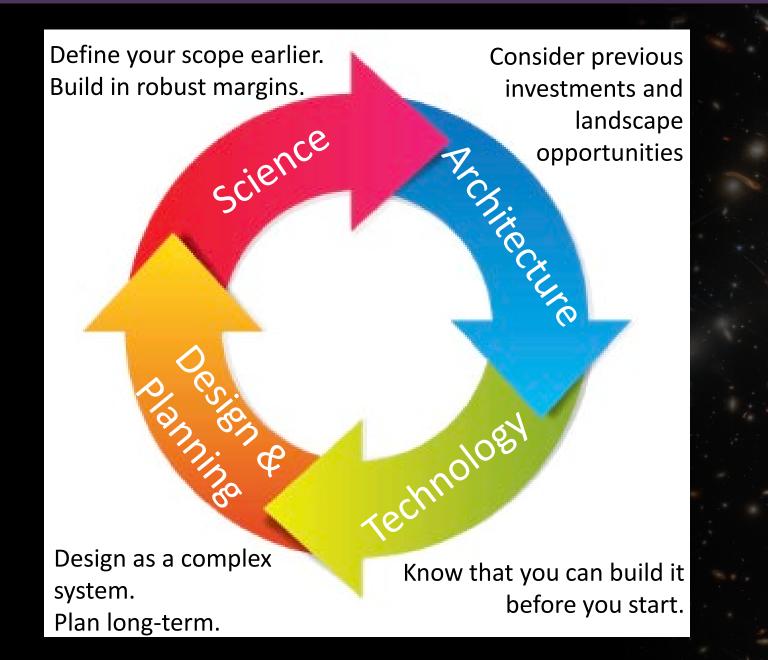
- As with many missions, the flight environment will be impossible to completely replicate on the ground
- Verification by analysis with models that have been validated via tests will be used to show compliance with performance requirements
- Integrated modeling was used on Chandra and JWST with limited number of iterations of trade space optimization



- As with many missions, the flight environment will be impossible to completely replicate on the ground
- Verification by analysis with models that have been validated via tests will be used to show compliance with performance requirements
- Integrated modeling was used on Chandra and JWST with limited number of iterations of trade space optimization

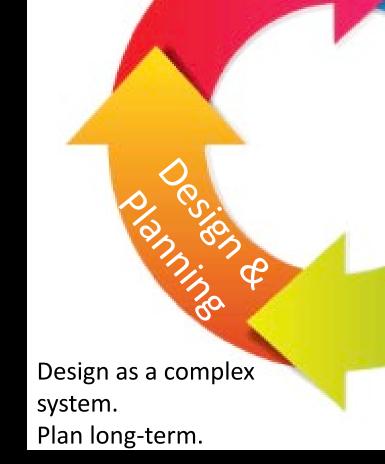


Establish an interoperable, integrated modeling framework to enable global partner communication, work coordination, and multi-discipline assessments of the full design trade space



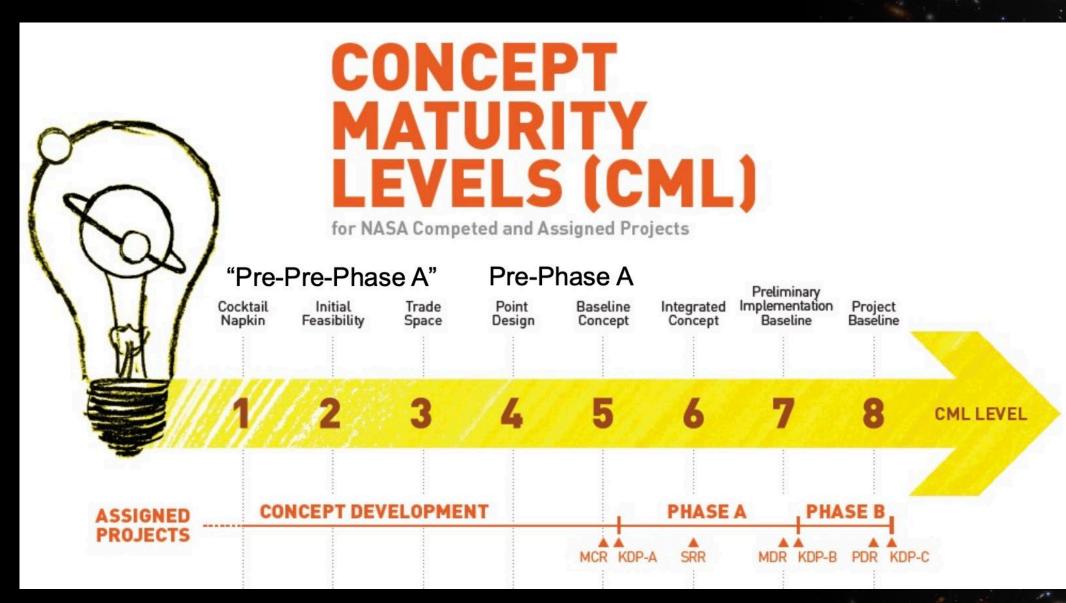


- Design as a System
- Use modular design
- Standardize interfaces
- Build in robust margins
- Develop long-lead roadmaps and Integrated Schedule to achieve them





Mission Concept Maturity Level Definition



https://exoplanets.nasa.gov/internal_resources/2232_Session-2_1_Linking_Science_and_Mission_Architecture-John_Ziemer.pdf

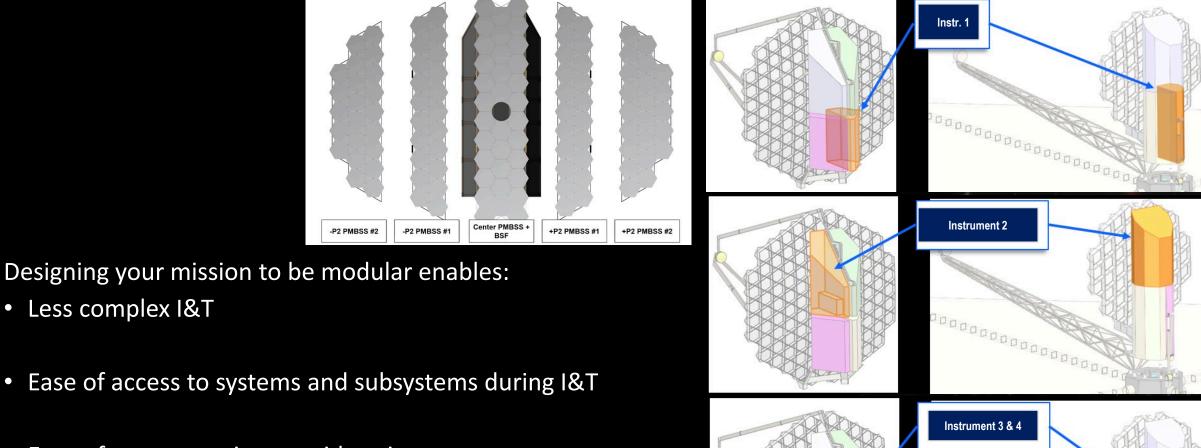
Plan out entire mission development lifecycle holistically

- Large missions are inherently complex. "Humans are bad at accurately assessing complexity" – NASA SMD Large Mission Study (2020)
- Impact of complexity on technology transition, manufacturing, integration & test, and operations often requires lifecycle systems engineering approach (System of systems)



GOMAP will assess progress on all aspects of the mission concept using the Mission Concept Maturity Level system

Manage complexity with a modular design



- Ease of transportation considerations ullet
- Less complex servicing

Less complex I&T

ullet

ullet

Designing the mission architecture to be modular pays off in spades reducing risk to flight hardware and minimizing risk of schedule erosion

Manage complexity with parallel operations

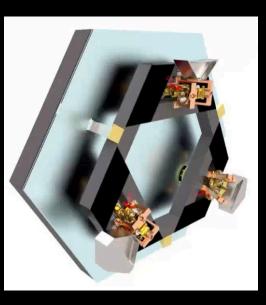


segment assemblies

Credit: L3/Harris

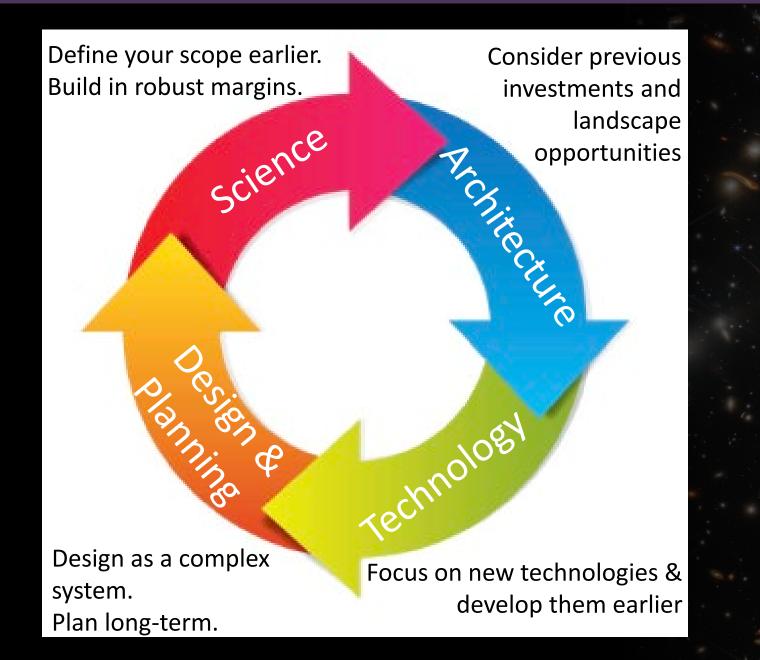
More parallel operations lead to a more efficient schedule

e.g. Parallel integration of N nearly identical primary mirror



Primary Mirror Lead Engineer Assembly Assembly Assembly Assembly Line 2 Line 1 Line 3 Line 4

Schedule modeling can help determine the optimal number of parallel vs. serial operations for fabrication, coating, or integration procedures.



Near-Term HWO

HWO By Astro2030

Goal:

Efficient project ready for funding

Objectives:

- Ready for formal Pre-Phase A
- Concept Maturity Level 3 Technologies at TRL4
- Science goals & objectives explored

Roadmaps for:

- Concept Maturity Level 5
- Technology Readiness Level ≥ 6
- Science Traceability Matrix Definition

Goal:

Successful independent assessment

Objectives:

- Ready for mission formulation
- Concept Maturity Level 5
- Technologies ≥ TRL 5
- Science Traceability Matrix finalized

Roadmaps for:

- Concept Maturity Level 8
- Technology Readiness Level ≥ 6

Near-Term FGOs 2,3

FGOs 2,3 By Astro2030

Goal:

 Continue advancing science/technology development via opportunities (probes, Explorers, suborbital missions, technologies)

Objectives:

- Technologies development
- Precursor science

Goal:

 Prepare and be ready for prioritization at Astro2030

Roadmaps for:

- Concept Maturity Level 5
- Technologies ≥ TRL 6
- Science Traceability Matrix definition

Range of opportunities to mature science and technologies:

- Probes
- Explorers
- Suborbital missions
- Technology development

Before HWO Project

With HWO Project

HWO

- Science, Technology, Architecture Review Team (START)
 - Develop left 2 columns of Science Traceability Matrix (STM)
 - Explore trade space in context of current landscape opportunities
- Precursor science proposals
- SAT Competed Technology Calls
- Technology Roadmapping via Astrophysics Program Offices

FGO-2, FGO-3:

- Precursor science proposals
- SAT Competed Technology Calls

HWO

 Projectized Pre-Phase A and Phase A – managed by NASA HQ Astrophysics Strategic Mission Program (ASMP)

Pre-2030 Decadal: FGO-2, FGO-3

- Technology Roadmapping
- Precursor science proposals
- SAT Competed Technology Calls
- Pre-Astro2030 Study Team

NASA ROSES solicitation: System-Level Segmented Telescope Design



Ultra-Stable Large Telescope Research and Analysis – Technology Maturation (ULTRA-TM) Technology Maturation for Astrophysics Space Telescopes (TechMAST)

https://science.nasa.gov/researchers/sara/grant-solicitations/roses-2017/amendment-50-release-d15-system-level-segmented-telescope-design

Near-term HWO technology roadmapping

NASA Astrophysics Program Offices Facilitating HWO Roadmapping

HWO Technology Roadmapping

Ultrastable Observatory Lead: Lee Feinberg (GSFC) Tech Coordinator: Laura Coyle (Ball)

Subteams: Observatory/System/ACS Sensing and Control Mirrors/Thermal/Coatings Backplane/Structure/Deployment Verification/Facilities/Demos

Facilitated by the Physics of the Cosmos (PhysCOS) & Cosmic Origins (COR) Astrophysics Program Office

Coronagraphs Co-Lead: Pin Chen (ExEP/JPL) Co-Lead: Laurent Pueyo/(STScI)

Subteams: Deformable Mirrors Coronagraph Design Options Segmented Telescope Sims Workshop Planning)

Facilitated by the Exoplanet and Exploration (ExEP) Astrophysics Program Office

Science

- Pre-Cursor Science (ROSES call)
- Science, Technology, Architecture Review Team (START) (Dear Colleague Letter)
- Extreme Precision Radial Velocity (EPRV) (ROSES)

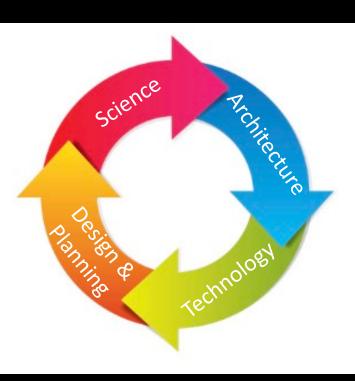
Technology

- Technology Development: (SAT, APRA, ROSES, Directed, etc.)
- Technology Roadmapping (Facilitated by the Astrophysics Program Offices)
- Interoperable Integrated Modeling

Recommendation (GOMAP)	Response
The APAC advises that APD not only support cross-PAG SAGs and SIGs as community interfaces to the GOMAP process, but to explore the efficacy of establishing formal GOMAP structures operated by the Division for all three Great Observatories simultaneously.	Not Accepted. See Mark Clampin's presentation
The APAC advises APD to consider formal stewardship of early GOMAP Integration/Strategy teams for the X-ray and FIR concept missions envisaged withing the Astronomy and Astrophysics 2020 Decadal Survey that can interface with community-led initiatives such as SAGs and SIGs.	Not Accepted. See Mark Clampin's presentation
The APAC requests at its next meeting a thorough discussion by APD leadership of a Great Observatory Mission and Technology Maturation Program (GOMAP) implementation roadmap commensurate with the prioritization of this activity over the next decade dictated within the 2020 Decadal Survey recommendations.	See Mark Clampin and Julie Crooke's presentation on Day 1
The APAC advises APD to understand whether the demands on the telecom infrastructure and the data downlink bandwidth environment and access is sufficiently robust for Webb alongside simultaneous operations of pending missions such as Euclid and Roman, and those envisioned in the GOMAP vision, in concert with the broader portfolio of mission operations conducted by NASA.	See Mark Clampin's presentation on Day 1 of this meeting

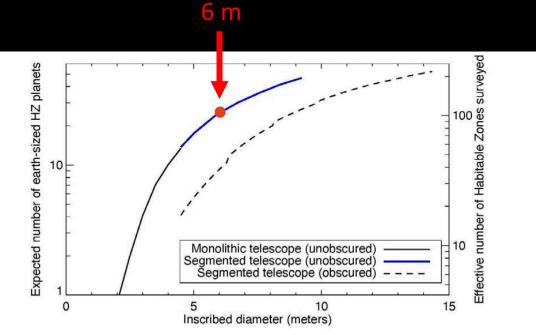
Backup

- A successful flagship starts long-term work before staffing ramps up, and details get refined as the trade space continually gets more focused.
- Start with Decadal science
- Quantify all science objectives including their break points & slope of performance degradation
- Identify observatory/instrument capability needs
- Design as a System
- Use modular design
- Standardize interfaces
- Build in robust margins
- Develop long-lead roadmaps and Integrated Schedule to achieve them



- Maximize use of high TRL architectures
- Survey and leverage industry
 landscape opportunities (i.e., launch vehicles, robotic servicing, AI/ML)
- Develop technologies early
- Identify pathfinder needs
- Develop modeling capability & fidelity needs throughout mission phases
- Demonstrate science performance of critical subsystems

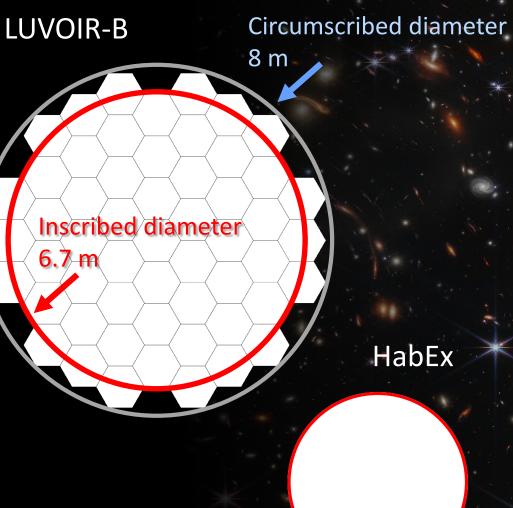
What does inscribed diameter mean?



Inscribed diameter

FIGURE 7.6 Potentially habitable exoplanet yield vs telescope diameter for different telescope architectures. Right axis shows the number of habitable zones surveyed (weighted by completeness); left axis shows the expected number of planets discovered assuming the occurrence rate of rocky planets in the optimistic habitable zones of different stars, eta_earth=0.24 (Bryson et al. 2021). The red dot shows the expected yield for the target 6-m inscribed diameter. NOTE: Habitable zone is defined as 0.95-1.67 AU for planets of 0.8-1.4 Earth radii. SOURCE: Adapted from C. Stark (Space Telescope Science Institute), D. Mawet (California Institute of Technology), and B. Macintosh (Stanford University).

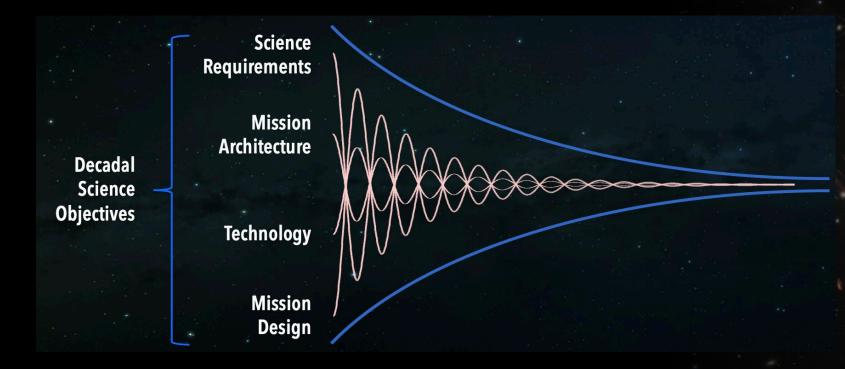
Inscribed / circumscribed diameter



4 m

Pre-Phase A

A successful flagship starts long-term work *before* staffing ramps up...



- Science definition
- Architecture & concept development
- Technology development
- Facility development planning

- Verification & validation planning
- Pathfinder/engineering demonstration planning
- Servicing approach & partnership
- Partner interface development

A successful flagship starts long-term work *before* staffing ramps up...

Establishing this work in Pre-Phase A isn't the same as shifting Phase A early

This critical step between the Mission Concept Study and Phase A involves government, science community, industry, and other partners to coordinate efforts, refine the flagship's definition, and prescribe how to proceed in Phase A



NASA and DoD Flagship Published Lessons Learned/Observed

National Academies of Sciences, Engineering, and Medicine. 2017. Powering Science: NASA's Large Strategic icience Missions. Washington, DC: The National Academies Press. <u>https://doi.org/10.17226/24857</u>

² The LUVOIR Mission Concept Study Interim Report, LUVOIR STDT, <u>https://arxiv.org/abs/1809.09668</u>

LUVOIR Final Report, 2019. https://asd.gsfc.nasa.gov/luvoir/

⁴ The National Academy of Sciences, "Exoplanet Science Strategy", 2018. https://www.nap.edu/catalog/25187/exoplanet-science-strategy

⁵ The National Academy of Sciences, "An Astrobiology Strategy for the Search for Life in the Universe", 2018. https://www.nap.edu/download/25252

⁵ Dalcanton, J., Seager, S., Aigrain, S., et al., "From Cosmic Birth to Living Earths", 2015. The Association of Universities for Research in Astronomy.

https://staticl.squarespace.com/static/558adc44e4b002a448a04c1a/t/559a8a6ae4b0050728da5326/1436191338606 hdst_report_070615.pdf

⁷ Kouveliotou, C., Agol, E., Batalha, N., et al., NASA Astrophysics Roadmap, "Enduring Quests – Daring Visions, NASA Astrophysics in the Next Three Decades", 2013, <u>https://arxiv.org/abs/1401.3741</u>

⁸ Hammel, H., et al., NAS APC White Paper, "The Carl Sagan Observatory: A Visionary Space Telescope", 2019. http://surveyizmoresponseuploads.a5.amazonaws.com/fileuploads/223127/5043187/136c22da2822760442566ed92924[641855_HammelHeidiB.pdf

⁹ Gaudi, S., et. al., NAS APC White Paper, "A Great Successor to the Hubble Space Telescope", 2019. http://surveygizmoresponseuploads.s3.amazonaws.com/fileuploads623127/5043187/119-36570406921163647554063646507b_GaudiBScott.pdf

¹⁰ Tumlinson, J., et. al., NAS APC White Paper, "The Next Great Observatories: How Can We Get There?", 2019. http://surveyzizmoresponseuploads.sa.mazonaws.com/fileuploads/623127/5043187/209c872b1b647b9c35c4bc68106823549a TumlinsonJason.pdf

¹¹ Roberge, A., et. al., NAS APC White Paper, "The Large UV/Optical/Infared Surveyor (LUVOIR): Telling the Story of Life in the Universe", 2019. http://surveygizmoresponseuploads.s3.amazonaws.com/fileuploads/623127/5043187/254-482488fe8621a9a6bd43e513c4e38a7f. RobergeAki.pdf

¹² Dressing, C., et. al., NAS APC White Paper, "The Landscape for Directly Characterizing Potentially Habitable & Inhabited Planets in the Late 2020s and Beyond". 2019. <u>http://surveyspironesponseuploads.as.anazonawas.com/fleuploads/623127/5043187/117-</u> e96f42fb29dc0c3e1963244c8342f307_DressingCourtneyD.pdf

¹³ Stark, C., et. al., Journal of Astronomical Telescopes, Instruments, and Systems, (JATIS), Vol. 5, ID. 024009, "ExoEarth yield landscape for future direct imaging space telescopes", 2019. <u>https://in.adsks.harvard.edu/abs/2019/ATTS_.3040095/abstract</u>

¹⁴ Crill, B., et. al., NAS APC White Paper, "Technology Challenges for the Study of Exoplanets and the Search for Habitable Worlds: Status and Path Forwarsd", 2019. http://surveysimoresponseuploads.as/anaroawaws.com/fileuploads/623127/5043187/66-2bab1c0e6d10aeab3ec0637d616c2922 CrillBrendanP.pdf

¹⁵ Shaklan, S., et. al., NAS APC White Paper, "Status of Space-based Segmented-Aperture Coronagraphs for Characterizing Exo-Earths Around Sun-Like Stars", 2019. http://surveygizmoresponseuploads.s3.amazonaws.com/fileuploads/623127/5043187/136-1577020785712722c5a01b9801f368f49_ShaklanShurtB.pdf

¹⁶ Coyle, L., et. al., NAS APC White Paper, "Ultra-Stable Telescope Research and Analysis (ULTRA)", 2019. http://surveyizmoresponseuploads.s3.amazonaws.com/fileuploads/623127/5043187/117_ dbdbb2ce3eb1a550462436224csaB1c_CoyleLamaF.pdf

¹⁷ Nordt, A., et. al., NAS APC White Paper, "Non-Contact Vibration Isolation and Precision Pointing for Large optical Telescopes Enabling breakthrough astronomy and astrophysics with ultra-stable optical systems", 2019. <u>http://surveygizmoresponseuploads.s3.amazonaws.com/fileuploads/623127/5043187/119-</u> 806ddbfd3b26fd17af7802ce18b5cf5f_NordtAlisonA.pdf

¹⁸ Kasdin, J., et al., NAS APC White Paper, "Relaxing Stability Requirements on Future Exoplanety Coronagraphic Imaging Missions", 2019. <u>http://surveygramoresponseuploads.s3.amazonaws.com/fileuploads/623127/5043187/137e292909/cf03bb7c921398/51614ced2f KasdinNeremy.pdf</u>

¹⁸ Pueyo, L., et. al., NAS APC White Paper, "Wavefront Snesing and Control technologies for Exo_earth imaging", 2019. <u>http://surveygizmoresponseuploads.s3.amazonaws.com/fileuploads/623127/5043187/183acb3dd12f87551b1228889022000/57 [http://dx.ul/fileuploads/623127/5043187/183acb3dd12f87551b1228889022000/57 [http://dx.ul/fileuploads/623127/5043187/183acb3dd12f87551b1228889022000/57 [http://dx.ul/fileuploads/623127/5043187/183acb3dd12f87551b1228889022000/57 [http://dx.ul/fileuploads/623127/5043187/183acb3dd12f87551b1228889022000/57 [http://dx.ul/fileuploads/623127/5043187/183acb3d12f87551b1228889022000/57 [http://dx.ul/fileuploads/623127/5043187/183acb3d12f87551b122889022000/57 [http://dx.ul/fileuploads/623127/5043187/183acb3d12f87551b122889022000/57 [http://dx.ul/fileuploads/623127/5043187/183acb3d12f87551b122889022000/57 [http://dx.ul/fileuploads/623127/5043187/183acb3d12f87551b122889022000/57 [http://dx.ul/fileuploads/623127/5043187/183acb3d12f87551b122889022000/57 [http://dx.ul/fileuploads/623127/5043187/183acb3d12f87551b12288902000/57 [http://dx.ul/fileuploads/623127/5043187/183acb3d12f87551b125889021000/57 [http://dx.ul/fileuploads/623127/5043187]</u> ttp://surveyzizmoresponseuploads.s3.amzonaws.com/fileuploads/C23127/5043187/161-76fa862892r000.4a14ecd4e041e1c30_Testbeds_for_space-based_Coronagraphs_APC_white_paper.pdf ¹Feinberg, L., et. al., NAS APC White Paper, "Ultra-stable Technology for High Contrast Observatories", 2019. ttp://surveyzizmoresponseuploads.s3.amzonaws.com/fileuploads/C23127/5043187/184_

⁰ Mazoyer, J., et. al., NAS APC White Paper, "High-Contrast Testbeds for Future Space-Based Direct Imaging

88217c9f817a16f20e4a7439c5d5af67_Decadal_Whitepaper_Ultrastable_Feinberg.pdf

² Cahoy, K., et. al., NAS APC White Paper, "Space-Based Laser Guide Star Mission to Enable Ground and Space Felescope Observations of Faint Objects", 2019. http://surveyeignoresponseuploads.st.amazonaws.com/fileuploads/623127/5043187/180-

e360fd3ea5c785b14625422c2f5b0685_CahoyKerri.pdf

Exoplanet Missions", 2019.

¹³ Sheikhm D., NAS APC White Paper, "Mirror Coating Technology and Infrastructure Plans for HabEx and LUVOIR NASA Concept Missions", 2019. http://surveyeizmoresponseuploads.s3.amazonaws.com/fileuploads/623127/5043187/137-

bd711b1d10c86e9d716f09025e7e828f_SheikhDavidA.pdf ²⁴ Domagal-Goldman, S., et. al., NAS APC White Paper, "Astrobiology as a NASA Grand Challenge", 2019.

http://surveygizmoresponseuploads.s3.amazonaws.com/fileuploads/623127/5043187/201-538b5710188b0a5fdbaba1f05f5435ab_DomagalGoldmanShawnD.pdf

¹⁵ East, M., et. al., NAS APC White Paper, "ULTRA Segment Stability for Space Telescope Coronagraphy", 2019. http://suveygizmoresponseuploads.s3.amazonaws.com/fileuploads/623127/5043187/254-0f6b277cb8a7da16cfa9f4cce3342753 L3Harris ULTRA Segment Stability for Space Telescope Coronagraphy White Paper pdf

⁵Lawrence, C., et. al., NAS APC White Paper, "Active Telescopes for Future Space Astronomy Missions", 2019. ttp://surveygizmoresponseuploads.s3.amazonaws.com/fileuploads/23127/5043187/254_ 89970f38203654bes62ee08adab8_LawrenceCharlesR_pdf

¹⁷ Stahl, P., et. al., SPIE Space Telescopes and Instrumentation: Optical, Infrared and Millimeter Wave, 2014, 'AMTD: update of engineering specifications derived from science requirements for future UVOIR space relescopes'', 2014. https://www.spiedigitallibrary.org/conference-proceedings-of-spie/9143/1/AMTD-update-ofengineering-specifications-derived-from-science-requirements/10.1117/12.2047466.full?SSO=1

⁸Martin, P., 2012, "NASA's Challenges to Meeting Cost, Schedule, and Performance Goals", NASA Office of nspector General, Report No., IG-12-021. <u>https://oig.nasa.gov/docs/IG-12-021.pdf</u>

⁹Khan, A., Phys.org, "Should NASA keep flying flagship missions? A new report weighs in", August 25, 2017. https://phys.org/news/2017-08-nasa-flagship-missions.html

³⁰ Siegel, E., Forbes, com, "One of These Four Missions Will Be Selected As NASA's Next Flagship for Astrophysics", March 22, 2019. https://www.forbes.com/sites/startswithabang/2019/03/22/one-of-these-fourmissions-will-be-selected-as-nasa-next-flagship-for-astrophysics/#181391cce4b12

¹ Chaplain, C., et. al., May 2019, "NASA Assessments of Major Projects", GAO Report to Congressional committees., Rep. GAO-19-262SP. <u>https://www.gao.gov/products/GAO-19-262SP</u>

¹² Wemeth, R., 2001, "Lessons Learned from Hubble Space Telescope Extravehicular Activity Servicing Missions", Goddard Space Flight Center, UMD.edu/design.lib/CES01-2204. https://spacecars.is.sl.und.edu/design_lib/CES01-2204.HST_CVA_lessons.pdf

³ Stahl, P., 2010, "JWST primary mirror technology development lessons learned", SPIE, Vol. 7796. https://www.spiedigitallibrary.org/conference-proceedings-of-spie/7796/179604/JWST-primary-mirror-technologylevelopment-lessons-learned 10.1117/12.80624.full

³⁴ Windhorst, R., Smith, R., 2013, Lessons Learned from JWST: What is required to make Mega-Science Projects succeed?, <u>http://www.asu.edu/clas/hst/www/jwst/jwstlaks/jwstlessons_aao13.pdf</u>

³⁵ Arenberg, J., Matthews, G., et. al., 2014, "Lessons We Learned Designing and Building the Chandra Telescope", SPIE, 9144-25. https://ntrs.nasa.gov/archive/nasa/casi.ntrs.nasa.gov/20140011718.pdf

³⁶Launius, R., & DeVorkin, D., 2014, "Hubble's Legacy: Reflections by Those Who Dreamed It, Built It, and Observed the Universe with It", Smithsonian Institution Scholarly Press, 2014, (Washington, DC). opensis.ie.du/index.php/smithsonian/catalog/view/S7/33/1071-1

⁷Cowen, R., 2015, "Hubble Turns 25", EOS, 96, doi:10.1029/2015EO028625 <u>https://eos.org/features/hubble-turns-5</u>

³⁸ Mitchell, D., 2015, "An Overview of NASA Project Management, MAVEN Magic, and Lessons Learned", NASA Goddard Space Flight Center. <u>https://ntrs.nasa.gov/archive/nasa/casi.ntrs.nasa.gov/20150009310.pdf</u>

³⁹ Wiseman, J., 2015, "The Hubble Space Telescope at 25: Lessons Learned for Future Missions", 2015IAUGA..2258532W. <u>http://adsabs.harvard.edu/abs/2015IAUGA..2258532W</u>

³Alban, S., 2016, 19 "Lessons in Project Management Mistakes from NASA", Mavenlink ttps://blog.mavenlink.com/19-lessons-in-project-management-mistakes-from-nasa

¹⁴ Feinberg, L., Arenberg, J., et. al., 2018, "Breaking the Cost Curve: applying lessons learned from the James Webb Space Telescope development to build more cost-effective large telescopes in the future", SPIE. https://doi.org/10.1117/12.2309661

⁴² Bitten, R., et. al., 2019, "Challenges and Potential Solutions to Develop and Fund NASA Flagship Missions", IEEE, 978-1-5386-6854-2/19. https://ntrs.nasa.gov/archive/nasa/casi.ntrs.nasa.gov/20190001455.pdf

⁴³ Chaplain, C., et. al., Feb. 2018, "James Webb Space Telescope Integration and Test Challenges Have Delayed Launch and Threaten to Push Costs Over Cap", GAO Report to Congressional Committees, GAO-18-273. https://www.gao.gov/assets700/690413.pdf

⁴ Kimble, R., et. al., 2012, "The Integration and Test Program of the James Webb Space Telescope", SPIE. https://jwst.nasa.gov/resources/KimbleSPIE20128442-90.pptx

⁵ Gunn, C., 2018, "The Cargo Aircraft that transported the James Webb Space Telescope", http://sci.esa.int/jwst/60356-the-cargo-aircraft-that-transported-the-james-webb-space-telescope/

⁴⁶ Villard, E., 2018, "Follow the STARRS to Find NASA's Webb Telescope", NASA, https://www.nasa.gov/feature/goddard/2018/follow-the-sttars-to-find-nasas-webb-telescope

⁴⁷ Paquin, K., Jenkins, A., 2008, "Hubble Instruments Slated for On-Orbit 'Surgery'", NASA, https://www.nasa.gov/mission_pages/hubble/servicing/series/instrument_repairs.html

⁴⁸ Gutro, R., 2017, "NASA James Webb Space Telescope Completes Acoustic and Vibration Tests", NASA. https://www.nasa.gov/feature/goddard/2017/nasas-james-webb-space-telescope-completes-acoustic-and-vibrationtests

⁴⁹ McCloskey, J., 2016, "EMC Test Challenges for NASA's James Webb Space Telescope", NASA. https://ntrs.nasa.gov/archive/nasa/casi.ntrs.nasa.gov/20160005453.pdf

⁵⁰ Foust, J., 2016, "Report warns of WFIRST cost growth", Space News, <u>https://spacenews.com/report-warns-of-wfirst-cost-growth/</u>

⁵¹ Figueroa, O., Michelson, P., et. al., 2017, "WFIRST Independent External Technical/Management/Cost Review (WIETR) Report, <u>https://www.nasa.gov/sites/default/files/atoms/files/wietr_final_report_101917.pdf</u>

² Jennings, D. E., et. al., 2017, "Composite Infrared spectrometer (CIRS) on Cassini", Applied Optics, Vol. 56, No. 8/June 20, 2017. https://www.semanticscholar.org/paper/Composite-infrared-spectrometer-(CIRS)-on-Cassinieminings-Flasar/1111aaba6b43d474ab764cff.203649fe22f4

¹³ Crooke, J. A., et al., "Alignment and polarization sensitivity study for the Cassini – Composite Infrared Spectrometer (CIRS) Far Infrared (FIR) interferometer", Cryogenic Optical Systems and Instruments VII, Vol. 2814, SPE, Denver, CO, 1996. https://ntrs.nasa.gov/archive/nass/casi.ntrs.nasa.gov/19980236546.pdf

⁵⁴ Crooke, J.A., "Alignment and cryogenic testing of the Cassini Composite Infrared Spectrometer (CIRS) farinfrared (FIR) focal plane", Cryogenic Optical Systems and Instruments VII, Vol. 2814, SPIE, Denver, CO, 1996. https://doi.org/10.1117/12.254152

⁵⁵ Crooke, J., et. al., "Flight qualification of the Cassini Composite Infrared Spectrometer (CIRS) far-infra-red (FIR) polarizing beamsplitter substrate: mylar chosen over polypropylene," SPIE, Vol. 2814, Denver, CO, 1996. https://doi.org/10.1117/12.254135

⁵⁶ Hagopian, J. G., "Cryogenic Interferometric Alignment (CIA) of the Composite Infrared Spectrometer (CIRS) engineering unit for the Cassini mission to Satum", Cryogenic Optical Systems and Instruments VII, Vol. 2814, SPIE, Denver, CO, 1996. https://doi.org/10.1117/12.254149

⁵⁷ Hagogian, J. G., Crooke, J. A., "Acoustic amplification in the far-infrared focal plane assembly of the composite infrared spectrometer (CIRS) for the Cassini mission to Satum", Cryogenic Optical Systems and Instruments VIII, Vol. 3435, SPIE, San Diego, CA, 1998. https://doi.org/10.1117/12.323738

³⁸ Hagopian, J. G., Crooke, J., et al., "Optomechanical alignment of the Composite Infrared Spectrometer (CIRS) for the Cassini Mission to Saturn", Cryogenic Optical Systems and Instruments VII, Vol. 2814, SPIE, Denver, CO, 1996. https://doi.org/10.1117/12.251417

³⁹ Fettig, R., Crooke, J., et. al., "Thermoelectric infrared detectors with improved mechanical stability for the composite infrared spectrometer (CIRS) far-infrared focal plane", Cryogenic Optical Systems and Instruments VIII, Vol. 3435, SPIE, San Diego, CA, 1998. https://www.tesearcheate.net/publication/252755159_Thermoelectric_infrared_detectors_with_improved_mechanic

al stability for the composite infrared spectrometer CIRS far-infrared focal plane

¹⁰ Lyon, R. G., et. al., "Solar viewing interferometer prototype", Optical, Infrared, and Millimeter Space Telescopes, Vol. 5487, SPIE, 2004. http://spie.org/Publications/Proceedings/Paper/10.1117/12.552237

⁶¹Birkler, J., et. al., 2002, Options for Funding Aircraft Carriers, https://www.rand.org/content/dam/rand/pubs/monograph_reports/2005/MR1526.pdf

²RL31404, 2004-2007, Defense Procurement: Full Funding Policy – Background, Issues, and Options for Congress, <u>https://www.everycrsreport.com/reports/RL31404.html</u>

⁶³ O'Rourke, R., 2006, Navy Ship Procurement: Alternative Funding Approaches – Background and Options for Congress, CRS Report for Congress, RL32776. <u>https://fas.org/sgp/crs/weapons/RL32776.pdf</u>

⁶⁴ Lee, D., et. al., 1994, Determining a Budget Profile from a Development Cost Estimate, <u>https://apps.dtic.mil/dtic/tr/fulltext/u2/a275864.pdf</u>

⁶⁵ O-Rourke, R., Schwartz, M., 2019, Multiyear Procurement (MYP) an Block Buy Contracting in Defense Acquisition: Background and Issues for Congress, R41909. <u>https://fas.org/sgp/crs/natec/R41909.pdf</u>

⁶⁶ O-Rourke, R., Navy Ford (CVN-78) Class Aircraft Carrier Program: Background and Issues for Congress, 2019. RS20643. <u>https://fas.org/sgp/crs/weapons/RS20643.pdf</u>

⁶⁷ AcqNotes Defense Acquisition Made Easy, Advanced Procurement (AP) Funding, Financial Management, 2010-19, http://acqnotes.com/acqnote/careerfields/advance-procurement-funding

³⁸ AcqNotes Defense Acquisition Made Easy, Full Funding Policy, Financial Management, 2010-19, http://acqnotes.com/acqnote/careerfields/full-funding

⁶⁹ Gady, Franz-Stefan, "U.S. Navy's \$13B Supercarrier Just Got Even More Expensive", The Diplomat, May 15, 2018. https://thediplomat.com/2018/05/us-navys-13-billion-supercarrier-just-got-even-more-expensive/

⁷⁰ 1303. National Academics Press, 2011, "Evaluation of the U.S. Air Force Preacquisition Technology Development", Chapter 2: The Current State of the Air Force's Acquisition Policies, Processes, and Workforce. https://www.map.edu/read/13030/chapter/4

⁷¹ Hertz, P., NASA HQ Charter for the Mission Concept Study Science and Technology Definition Teams. https://snud-prod.s3.amazonaws.com/science-pink/s35spublic/atoms/files/Mission Concept Study and Definition Team. Charter-V1 2015-12-28.pdf

⁷²NASA Astro2020 Decadal Survey Planning. <u>https://science.nasa.gov/astrophysics/2020-decadal-survey-planning</u>

¹⁹ NASA HQ, Astrophysics Decadal Survey 2020 Management Plan for Large Mission Concept Studies – Rev F, 2019. See slides 48-56 for CML4 definitions. <u>https://smd-prod.s3.amazonaws.com/science-pink/s3fs-</u> public/atoms/files/Decadal_Studies_Management_Plan_RevF_APD_02_11_2019.pdf

⁷⁴ Hylan, J., et. al., NAS APC White Paper, "Managing Flagship Missions to Reduce Cost and Schedule", 2019. http://surveygizmoresponseuploads.s3.amazonaws.com/fileuploads/623127/5043187/66-353380de11bcb8686384544317951ab1 HylanJasonE.pdf

⁷⁵ Crooke, J., et. al., NAS APC White Paper, "Funding Strategy Impacts and Alternative Funding Approaches for NASA's Future Flagship Mission Developments", 2019.

ttp://surveygizmoresponseuploads.s3.amazonaws.com/fileuploads/623127/5043187/186cb4977b966d4d6f1414fb9c436e1271_CrookeJulieA.pdf

⁷⁶ NASA Systems Engineering Handbook, NASA/SP-2016-6105 Rev2. https://ntrs.nasa.gov/archive/nasa/casi.ntrs.nasa.gov/20170001761.pdf

⁷⁷ Bitten, B., Kellogg, B., et. al, The Aerospace Corporation, NASA Cost Symposium, Reserves on Schedule to Go (STG) Based on Historical Data, 2014, https://www.masa.gov/sites/default/files/files/23_Reserves_on_STG_Briefing_FINAL_for_NCS_Approved_Tagged_

⁷⁴ Crooke, J., Gunderson, J., Hagopian, J., et al., "Developing a NASA strategy for the verification of large space telescope observatories", Modeling, Systems Engineering, and Project Management for Astronomy II, SPIE, Vol. 6271. https://doi.org/10.1117/12.670209

Crooke. J., Bolcar, M., Hyylan, J., "Evolving management strategies to improve NASA flagship's cost and schedule performance: LUVOIR as a case study", 2019. UV/Optical/IR Space Telescopes and Instruments: Innovative Technologies and Concepts IX, SPIE, Vol. 11115. https://doi.org/10.1117/12.2529294

Bordi, F., Emmons, D., Clampin, M., Shinn, S., Bitten, R., "Establishing a resilient flagships science program", 2020. Space Telescopes and Instrumentation 2020: Optical, Infrared, and Millimeter Wave, SPIE, Vol. 11443. <u>https://doi.org/10.1117/12.2561023</u>

44

Published Lessons Learned on How to Manage Mega-Projects

"IMPORTANT, TIMELY, INSTRUCTIVE, AND ENTERTAINING." -Daniel Kahneman, Nobel Prize-winning author of Thinking, Fast and Slow

HOW BG THINGS GET DONE

THE SURPRISING FACTORS THAT DETERMINE THE FATE OF EVERY PROJECT. FROM HOME RENOVATIONS TO SPACE EXPLORATION AND EVERYTHING IN BETWEEN

BENT FLYVBJERG and DAN GARDNER

